

MISSOURI-KANSAS CITY BASIN



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BAUMGARTNER DAM

CALLAWAY COUNTY, MISSOURI

MO. 10883



PHASE 1 INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM





United States Army Gorpe of Engineers ...§eving the Army

St. Louis District

PREPARED BY: U.A. APRILY ENGINEER DISTRICT, ST. LOUIS

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This report was prepared under the National Program of Inspection of Non-Federal Dams. This report assesses the general condition of the dam with respect to safety, based on available data and on visual inspection, to determine if the dam poses hazards to human life or property.				

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BAUMGARTNER DAM CALLAWAY COUNTY, MISSOURI MISSOURI INVENTORY NO. 10883

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PHASE I INSPECTION REPORT

MATIONAL DAM SAFETY PROGRAM.

Baumgartner Dam (MO 10883)
Missouri - Kansas City Basin,
Calla ay County, Missouri. Phase I
Inspection Report.

PREPARED BY
HOSKINS-WESTERN-SONDEREGGER, INC.
CONSULTING ENGINEERS
LINCOLN, NEBRASKA

UNDER DIRECTION OF

ST. LOUIS DISTRICT, CORPS OF ENGINEERS

GOVERNOR OF MISSOURI

JUNE 79

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ST. LOUIS DISTRICT. CORPS OF ENGINEERS
210 TUCKER BOULEVARD. NORTH
ST. LOUIS, MISSDURI 63101

REPLY TO

SUBJECT: Baumgartner Dam Phase I Inspection Report

This report presents the results of field inspection and evaluation of the Baumgartner Dam. It was prepared under the National Program of Inspection of Non-Federal Dams.

SUBMITTED BY: SIGNED 24 MAR 1980
Chief, Engineering Division Date

APPROVED BY: SIGNED

24 MAR 1980

Colonel, CE, District Engineer

Date

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM

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PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM ASSESSMENT SUMMARY

Name of Dam State Located County Located Stream Date of Inspection Baumgartner Dam Missouri Callaway County Tributary to Owl Creek June 1, 1979

Baumgartner Dam was inspected by an interdisciplinary team of engineers from Hoskins-Western-Sonderegger, Inc. (The purpose of the inspection was to make an assessment of the general condition of the dam with respect to safety, based upon available data and visual inspection, in order to determine if the dam poses hazards to human life or property.

The guidelines used in the assessment were furnished by the Department of the Army, Office of the Chief of Engineers, and developed with the help of several Federal and State agencies, professional engineering organizations, and private engineers. Based on these guidelines, this dam is classified as a small size dam with a high downstream hazard potential. Failure would threaten life and property. The estimated damage zone extends approximately three miles downstream of the dam. Within the damage zone are a church, two dwellings and a Highway F bridge.

Our inspection and evaluation indicates that the spillway does meet the criteria set forth in the recommended guidelines for a small dam having a high hazard potential. Considering the small volume of water impounded and the large floodplain downstream of the dam, one-half of the Probable Maximum Flood is the appropriate spillway design flood. The spillway will pass the 100-year flood (flood having a one percent chance of being exceeded in any year) without overtopping the dam. The spillway will pass 50% of the Probable Maximum Flood without overtopping the dam. The Probable Maximum Flood (PMF) is defined as the flood that may be expected from the most severe combination of critical meteorologic and hydrologic conditions that are reasonably possible in the region.

No design data were available for this dam. Seepage and stability analyses comparable to the requirements of the "Recommended Guidelines for Safety Inspection of Dams" were not available, which is considered a deficiency. These analyses should be obtained in the future.

Other deficiencies observed during the inspection are some slumping of the upstream slope and the crest; a rodent hole in the crest; heavy tree growth on the downstream slope; erosion of the downstream toe; seepage in the left abutment trough; and severe erosion of the spillway outlet.

Maintenance of the downstream slope and the spillway outlet is very deficient. Preventative maintenance items related to the tree growth, rodent holes and erosion of the spillway and toe of the dam need to be initiated by the owner as described in more detail in the body of the report.

Michael McMeekin

E-4776

Chairman of Board

Hoskins-Western-Sonderegger, Inc.

E-8696



PHOTO NO. 1 - OVERVIEW

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM BAUMGARTNER DAM - MO 10883 CALLAWAY COUNTY, MISSOURI

SECTION 1 - PROJECT INFORMATION

1.1 GENERAL

- a. Authority. The National Dam Inspection Act, Public Law 92-367, authorized the Secretary of the Army, through the Corps of Engineers, to initiate a program of safety inspection of dams throughout the United States. Pursuant to the above, the St. Louis District, Corps of Engineers, District Engineer directed that a safety inspection of Brady Dam be made.
- b. <u>Purpose of Inspection</u>. The purpose of the inspection was to make an assessment of the general condition of the dam with respect to safety, based upon available data and visual inspection, in order to determine if the dam poses hazards to human life or property.
- c. Evaluation Criteria. Criteria used to evaluate the dam were furnished by the Department of the Army, Office of the Chief of Engineers, in "Recommended Guidelines for Safety Inspection of Dams", Appendix D to "Report of the Chief of Engineers on the National Program of Inspection of Dams," dated May, 1975, and published by the Department of the Army, Office of the Chief of Engineers.

1.2 DESCRIPTION OF PROJECT

- a. Description of Dam and Appurtenances.
 - (1) The dam is an earth fill located in the Central Mississippi Valley wooded slope physiographic area of Central Missouri. Topography of the area is gently rolling with moderately deep loess covering the uplands. Two excavated ponds divided by a narrow strip of land are located immediately downstream from the dam.
 - (2) The uncontrolled spillway consists of a vegetated earth channel cut through the left abutment. The spillway discharges into the adjoining downstream pond.

- (3) Pertinent physical data are given in paragraph 1.3 below.
- b. Location. The dam is located in the west central part of Callaway County, Missouri, as shown on Plate A-2. The dam is shown on Plate A-1 in the NW4 of Section 36, T48N, R11W. The lake formed behind the dam is shown in the NW4 of Section 36, T48N, R11W.
- c. Size Classification. Criteria for determining the size classification of dams and impoundments are presented in the guidelines referenced in paragraph 1.1c above. Based on these criteria, this dam and impoundment is in the small size category.
- d. Hazard Classification. Guidelines for determining hazard classification are presented in the same guidelines as referenced in paragraph 1.1c above. Based on referenced guidelines, this dam is in the High Hazard Classification. The estimated damage zone extends approximately three miles downstream of the dam. Within the damage zone are a church, two dwellings and a Highway F bridge.
- e. Ownership. The dam is owned by J.C. Baumgartner, Route 5, Fulton, Missouri 65251.
- f. Purpose of Dam. The purpose of the dam is for erosion control (gulley head cutting) and recreation.
- g. <u>Design and Construction History</u>. No information was available on the design or construction of this dam. The size of the trees on the downstream slope would indicate that it was probably built in the early 1960's.
- h. Normal Operating Procedure. There are no operating procedures for this dam. The spillway is uncontrolled.

1.3 PERTINENT DATA

- a. <u>Drainage Area</u>. 61.3 acres (.096 square miles).
- b. Discharge at Damsite.
 - (1) All discharges at the damsite are through an uncontrolled earthen spillway.
 - (2) Estimated maximum flood at damsite unknown.

- (3) The earthen spillway capacity varies from 0 c.f.s. at its crest elevation 830.0 feet to $330\pm$ c.f.s. at elevation 832.8 feet (minimum top of dam).
- c. Elevations (feet above M.S.L.).
 - (1) Top of Dam 832.8± (low point)

(2) Spillway crest - 830.0±

- (3) Streambed at centerline 803.7±
- (4) Maximum tailwater unknown
- d. Reservoir. Length (feet) of maximum pool 1100±.
- e. Storage (Acre-feet).
 - (1) Top of dam $75\pm$
 - (2) Spillway crest 42±
- f. Reservoir Surface (Acres).
 - (1) Top of dam $10\pm$
 - (2) Spillway crest 7±
- g. Dam.
 - (1) Type Earth fill
 - (2) Length 750 feet \pm
 - (3) Height 29 feet ± (maximum)
 - (4) Top width 16 feet ±(crown down centerline)
 - (5) Side slopes.
 - (a) Downstream 3.2 H on 1V \pm (measured)
 - (b) Upstream 4.3 H on 1V(measured exposure)
 - (6) Zoning unknown
 - (7) Impervious core unknown
 - (8) Cutoff unknown
 - (9) Grout curtain unknown
 - (10) Wave protection none
- h. Diversion Channel and Regulating Tunnel. None.
- i. Spillway.
 - (1) Principal (and only)
 - (a) Type uncontrolled, vegetated earth channel cut through the left abutment with a 30 foot± bottom width at the centerline of the dam.

- (b) Control section the control section is downstream about 75 feet from the centerline of the dam where the cross section is restricted to a 10 foot± bottom width. The channel slopes down at approximately 1% from the crest to the control section.
- (c) Crest elevation 830 ft.± at inlet, 829.2 ft.± at control section.

(d) Upstream Channel. The channel is well vegetated and open.

- (e) Downstream Channel the exit channel drops off abruptly into an eroded gulley about 100 feet downstream from the centerline of the dam.
- j. Regulating Outlets. None

SECTION 2 - ENGINEERING DATA

2.1 DESIGN

No design data were available for this dam.

2.2 CONSTRUCTION

No construction data were available.

2.3 OPERATION

No data were available on spillway operation.

2.4 EVALUATION

- a. Availability. No data were available.
- b. Adequacy. The field surveys and visual observation presented herein are considered adequate to support the conclusion of this report. Seepage and stability analyses comparable to the requirements of the "Recommended Guidelines for Safety Inspection of Dams" were not available, which is considered a deficiency. These seepage and stability analyses should be performed for appropriate loading conditions (including earthquake loads) and made a matter of record.
- c. Validity. Not applicable.

SECTION 3 - VISUAL INSPECTION

3.1 FINDINGS

a. General. A visual inspection of the Baumgartner Dam was made on June 1, 1979. Engineers from Hoskins-Western-Sonderegger, Inc., Lincoln, Nebraska, making the inspection were: R.S. Decker, Geotechnical; Gordon Jamison, Hydrology and Mike McMeekin, Civil Engineer. The owner of the dam was not present during the inspection.

b. Dam.

- (1) Geology and Soils (Abutment and Embankment). Soils on the site consist of CL loess on the uplands with residual soils derived from glacial till or from limestone and/or shale on the valley slopes. Material exposed in the lower portion of the right abutment was reddish-brown CH soil which appeared to be residium from limestone. Material exposed in the left abutment spillway cut is brown CL-CH soil, very blocky with gray silty intrusions overlying what appeared to be a silty or clay silt shale formation. (See Photos 12 and 13.)
- (2) Upstream Slope. The upstream slope is well vegetated with grasses and reeds. No significant erosion was noted on the face. Some slumping of the upstream slope was observed between stations 5+00 and 5+50. No other deformations or cracks were observed on the upstream slope.
- (3) Crest. The crest is well vegetated with adapted grasses. A rodent hole about 9 inches in diameter was observed at about station 7+00. The profile along the crest is remarkably uniform. The top of the dam is higher (crowned) along the centerline with slight slopes both up and down from the crown. Borings on the crest show about 1 foot of gray limey CL-ML with yellow to reddish brown CL-CH material from 1 foot to 2.5 feet. The crest has slumped upstream between stations 5+00 and 5+50 and downstream between stations 4+50 and 4+75. No other deformations or cracks were noted.

- (4) Downstream slope. The downstream slope is almost entirely covered with oak, sycamore and cottonwood trees up to 8 inches in diameter. The toe of the dam is eroded with rills and gullies caused by wave wash from the pond which encroachs on the toe of the dam. Seepage was observed in the left abutment trough downstream from about station 6+75. The seepy spot is about 20 feet wide and about 5 feet above the level of the pond adjoining the toe of the dam. Free water but no flow was observed in the seep area. All effluent was clear. No other indications of seepage were noted. No cracks, rodent holes or abnormal deformations were noted on the downstream slope.
- (5) Miscellaneous. The vegetative cover and the materials in the dam would indicate that the structure could withstand overtopping without serious damage.

c. Appurtenant Structures.

- (1) The spillway consists of an uncontrolled, well vegetated channel cut into the left abutment. Measurements indicate that the channel slopes downstream from the inlet elevation. Spillway discharge is controlled by a contraction in the cross section some 100 feet downstream from the inlet. The spillway exits into a deeply eroded gulley about 125 feet downstream from the inlet. The gulley is eroded into a silty shale formation. No significant erosion was noted in the spillway channel except in the outlet gulley which is severely eroded.
- (2) Drawdown Facilities. There are no drawdown facilities for this dam.
- d. Reservoir Area. No significant erosion or wave wash was observed around the shoreline of the reservoir.
- e. <u>Downstream Channel</u>. There is no channel downstream from the dam. The excavated pond at the downstream toe of the dam receives the discharges from this structure.

3.2 EVALUATION

This dam does not appear to have an immediate serious potential of failure. The effect of seepage in the left abutment trough is not known but it does not appear to be critical. Tree growth on the downstream slope could ultimately lead to potential of failure. Progression of the gulley headcut at the spillway outlet could lead to breaching of the dam; however, there is a sizable mass of fairly erosion resistant material between the present headcut and the reservoir. Wave wash of the downstream toe caused by the adjoining pond could damage the structure if left uncontrolled.

SECTION 4 - OPERATIONAL PROCEDURES

4.1 PROCEDURES

There are no controlled outlet works for this dam. The pool level is controlled by rainfall, infiltration, evaporation, and the capacity of the uncontrolled spillway.

4.2 MAINTENANCE OF DAM

Maintenance of the downstream section of the dam and of the spillway outlet is very deficient.

4.3 MAINTENANCE OF OPERATING FACILITIES

No operating facilities exist at this dam.

4.4 DESCRIPTION OF ANY WARNING SYSTEM IN EFFECT

There is no warning system in effect for this dam.

4.5 EVALUATION

There does not appear to be any serious potential of failure of this structure.

SECTION 5 - HYDRAULIC/HYDROLOGIC

5.1 EVALUATION OF FEATURES

- a. Design Data. No design data were found for this dam.
- b. Experience. There are no available records of reservoir operation.
- c. Visual Observations. The dam has only one spillway which is an uncontrolled earthen spillway located on the left abutment. The water surface was observed to be at the elevation of the crest of the spillway. The spillway is well vegetated with grasses and has a few trees growing in it. The spillway has eroded forming a gully beginning approximately 125 feet downstream of the dam. A control section is formed downstream because the grade becomes very steep. The spillway becomes narrower at the control section forming a restriction.
- d. Overtopping Potential. The spillway is too small to pass the probable maximum flood without overtopping. The spillway will pass the 100-year flood and 50% of the probable maximum flood without overtopping of the dam. The dam could withstand overtopping without serious damage.

The results of the routings through the dam are tabulated below.

Frequency	Peak Inflow Discharge c.f.s.	Peak Outflow Discharge c.f.s.	Maximum Pool Elevation	Freeboard Top of Dam Min. Elev. 832.8	Duration of Dam Overtopping Hr.
100 yr.	280	90	831.2	+1.6	0
1/2 PMF	550	270	832.5	+0.3	0
PMF	1,100	980	833.4	-0.6	1±

The drainage area and reservoir surface area of the watershed were determined from the U.S.G.S. Millersburg NE, Missouri, 7½ minute topographic quadrangle map. The hydraulic computations for the spillway and dam overtopping discharge ratings were based on data collected during the field inspection. Hydrologic and hydraulic computations are described in Appendix D.

According to the recommended guidelines from the Department of the Army, Office of the Chief of Engineers, this dam is classified as having a high hazard rating and a small size. Therefore, the 1/2 PMF to the PMF is the test for the adequacy of the dam and its spillway.

The estimated damage zone is described in Paragraph 1.2d in this report.

SECTION 6 - STRUCTURAL STABILITY

6.1 EVALUATION OF STRUCTURAL STABILITY

- a. Visual Observation. The dam appears to be structurally stable. The flat slopes and the materials in the dam would provide adequate safety against shear failures for a dam of this height. The deficiencies in maintenance (trees on the downstream slope) could ultimately impair the integrity of the structure.
- b. <u>Design and Construction Data</u>. No design or construction data were available. Seepage and stability analyses comparable to the requirements of the "Recommended Guidelines for Safety Inspection of Dams" were not available, which is considered a deficiency.
- c. Operating Records. There are no controlled operating facilities for this dam.
- d. Post Construction Changes. The inspection team is not aware of any post construction changes for this structure.
- e. Seismic Stability. This dam is located in Seismic Zone 1.

 An earthquake of the magnitude predicted in this area is not expected to cause structural failure of this dam.

SECTION 7 - ASSESSMENT/REMEDIAL MEASURES

7.1 DAM ASSESSMENT

- a. Safety. There does not appear to be a serious potential of failure of this structure. Analyses presented in Section 5 indicate that the spillway will pass one half of the Probable Maximum Flood without overtopping. The adverse effects of abutment seepage are not known, but would not appear to be serious. Deficiencies in maintenance, trees on the downstream slope and erosion of the spillway exit should be corrected and/or controlled.
- b. Adequacy of Information. Due to the lack of engineering data, the conclusions in this report are based upon performance history and visual observations. Seepage and stability analyses comparable to the requirements of the guidelines were not available which is considered a deficiency.
- c. Urgency. There does not appear to be an immediate urgency to accomplish the remedial measures recommended in paragraph 7.2.
- d. Necessity for Phase II. Phase II investigation is not considered necessary.
- e. <u>Seismic Stability</u>. This dam is located in Seismic Zone 1. An earthquake of this magnitude is not expected to be hazardous to this dam.

7.2 REMEDIAL MEASURES

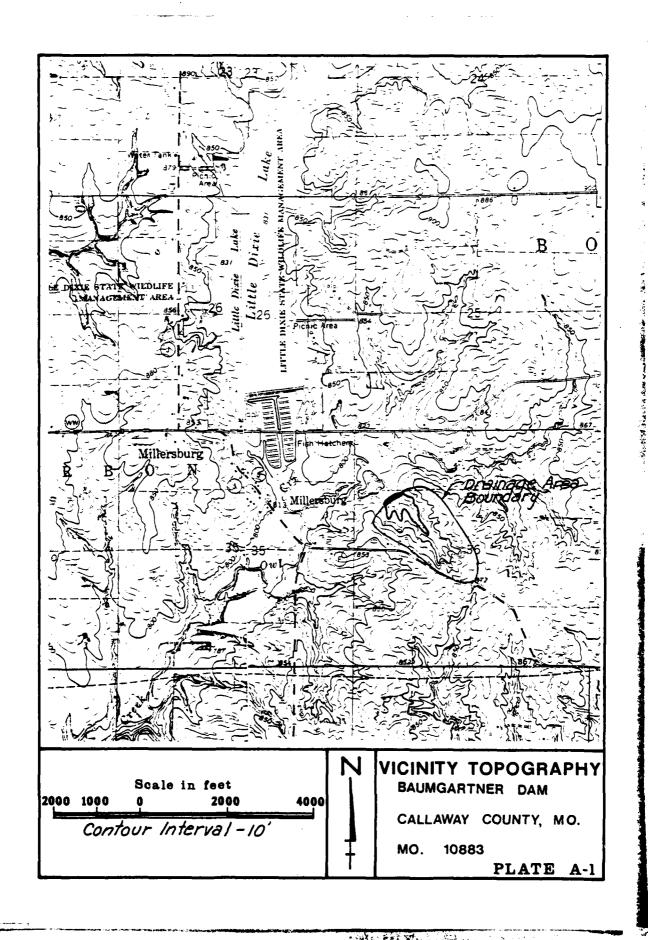
a. <u>Alternatives</u>. The services of an engineer experienced in the design of dams should be obtained to provide seepage and stability analyses of the present dam, and to design protective measures, if required.

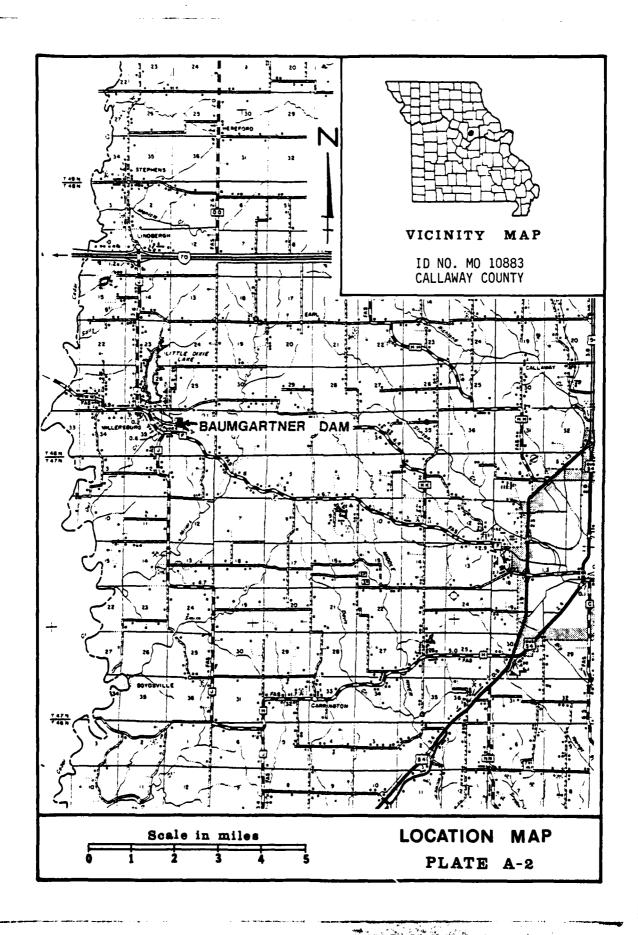
b. 0 & M Procedures.

- (1) The tree growth should be removed from the downstream slope and measures taken to prevent their recurrence.
- (2) Measures should be taken to control the erosion and headcutting in the spillway exit channel.

- (3) The rodent hole observed on the crest and any other observed should be repaired.
- (4) A program of regular inspection and maintenance should be initiated with particular concern for tree growth on the dam, rodent activity, excessive erosion in the spillway and along the toe of the dam, and any changes in seepage pattern or volume.

APPENDIX A MAPS





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APPENDIX B PHOTOGRAPHS

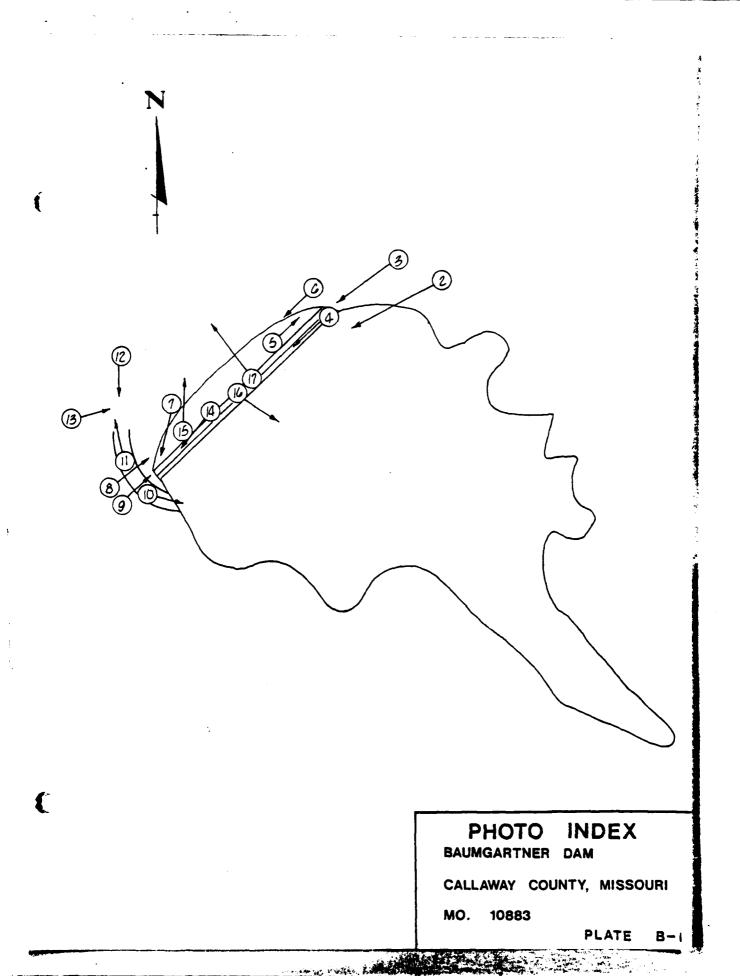




PHOTO NO. 2 - OVERVIEW TAKEN FROM RIGHT ABUTMENT



PHOTO NO. 3 - CREST TAKEN FROM RIGHT END



PHOTO NO. 4 - UPSTREAM SLOPE TAKEN FROM RIGHT END



PHOTO NO. 5 - DOWNSTREAM SLOPE FROM RIGHT END. FARM POND AT EXTREME RIGHT

· PONS MADES AND PARTICIONAL AND LEADING



PHOTO NO. 6 - DOWNSTREAM TOE. NOTE EROSION CAUSED BY FARM POND.



PHOTO NO. 7 - SEEPAGE IN LEFT ABUTMENT TROUGH



PHOTO NO. 8 - DOWNSTREAM SLOPE TAKEN FROM LEFT ABUTMENT.



PHOTO NO. 9 - CREST OF DAM TAKEN FROM LEFT END.

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PHOTO NO. 10 - VIEW UPSTREAM IN SPILLWAY



PHOTO NO. 11 - VIEW DOWNSTREAM IN SPILLWAY



PHOTO NO. 12 - EXIT CHANNEL OF SPILLWAY SHOWING HEAD CUTTING.



PHOTO NO. 13 - VIEW OF HEAD CUT.



PHOTO NO. 14 - LARGE RODENT HOLE AT STA. 7+00.



PHOTO NO. 15 - VIEW DOWNSTREAM FROM STA. 7+00 SHOWING FARM PONDS.



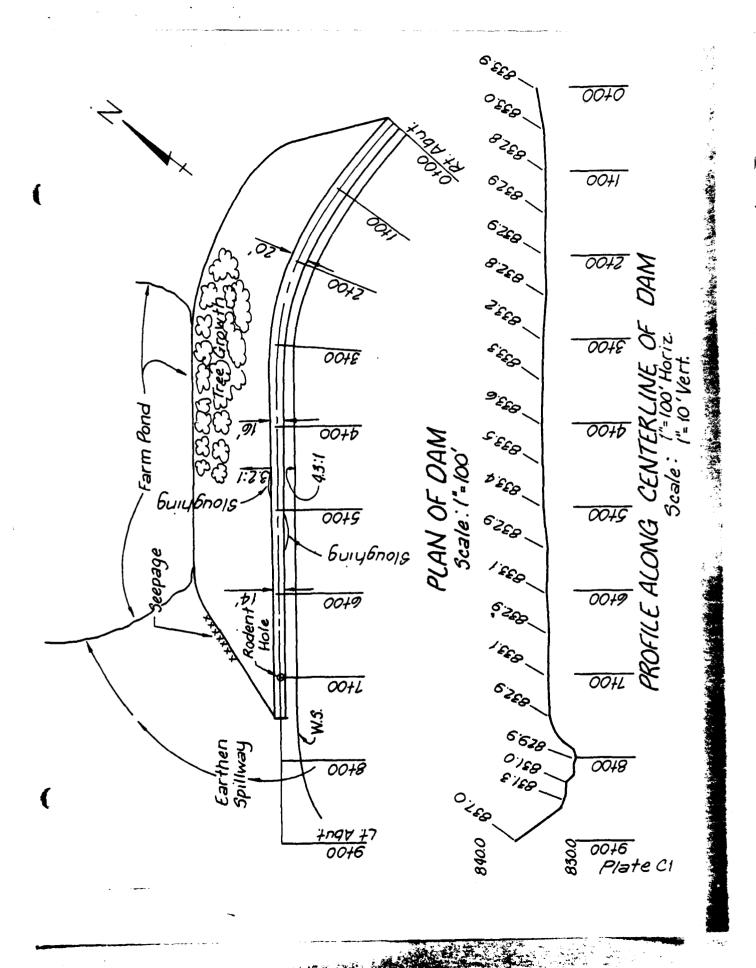
PHOTO NO. 16 - VIEW UPSTREAM FROM STA. 4+50.

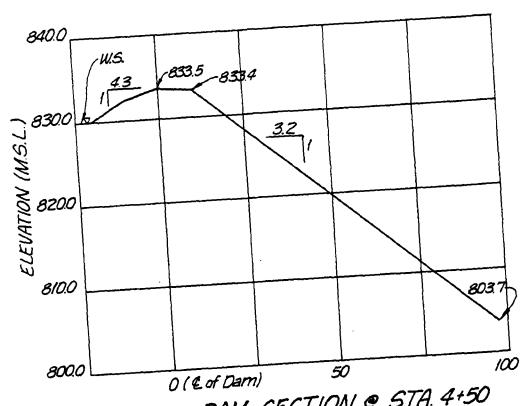


PHOTO NO. 17 - VIEW DOWNSTREAM FROM STA. 4+50.

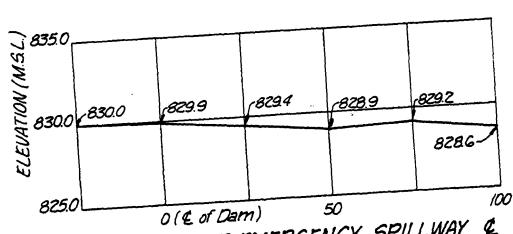
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APPENDIX C PROJECT PLATES





DAM SECTION & STA. 4+50 Scale: ["= 25' Horiz. |"= 10' Vert.

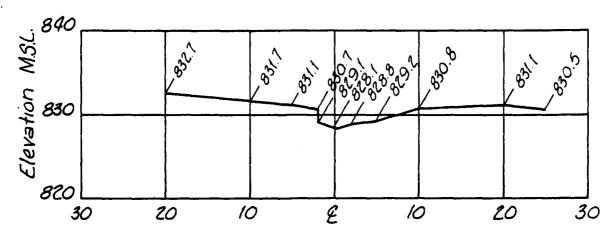


PROFILE OF EMERGENCY SPILLWAY &

Scale: ("= 25' Horiz.

("= 5' Vert.

Plate CZ



CROSS-SECTION OF EMERGENCY SPILLWAY

125 FEET DOWNSTREAM OF DAM &
Scale: 1"=10'Horiz.
1"=10'Vert.

APPENDIX D HYDRAULIC AND HYDROLOGIC DATA

HYDROLOGIC COMPUTATIONS

- 1. The SCS dimensionless unit hydrograph and the systemized computer program HEC-1 (Dam Safety Version), July 1978, prepared by the Hydrologic Engineering Center, U.S. Corps of Engineers, Davis, California, were used to develop the inflow hydrographs.
 - a. Twenty-four hour, 100-year rainfall for the dam location was taken from the data for the rainfall station at Moberly, Missouri, as supplied by the St. Louis District, Corps of Engineers per their letter dated 6 March 1979. The twenty-four hour probable maximum precipitation was taken from the curves of Hydrometerological Report No. 33 and current Corps of Engineers and St. Louis policy and guidance for hydraulics and hydrology.
 - b. Drainage area = 0.096 square miles (61.3 acres).
 - c. Time of concentration of runoff equals 16 minutes. Due to the small size of the watershed, Soil Conservation Service methods from Chapter 15 of NEH 4 were used to determine the time of concentration.
 - d. The antecedent storm conditions for the probable maximum precipitation were heavy rainfall and low temperatures which occurred on the previous 5 days (SCS AMC III). The antecedent storm conditions for the 100-year precipitation were an average of the conditions which have preceded the occurrence of the maximum annual flood on numerous watersheds (SCS AMC II). The initial pool elevation was assumed at the spillway crest.
 - e. The total twenty-four hour storm duration losses for the 100-year storm were 2.54 inches. The total losses for the PMF storm were 1.30 inches. These losses are based on SCS runoff curve No. 90 and No. 78 for antecedent moisture conditions, SCS AMC III and AMC II respectively. The watershed is composed of primarily SCS soil group C & D (Lindley, Keswick, Hattan) and consists mostly of grassland and woodland.
 - f. Average soil loss rate = 0.05 inch per hour for the P.M.F.
- 2. The discharge rating for the spillway was developed using the Corps of Engineers Water Surface Profile HEC-2 computer program.

The discharge rating for flow over the dam crest was developed using the $\mbox{HEC-1}$ (Dam Safety Version) program.

3. Floods were routed through the reservoir using the HEC-1 (Dam Safety Version) program. The input, output, and plotted hydrographs are included in this Appendix.

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PLATE D-18

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